

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Margaret Sue Ellis

Application No. 09/991,762

Filed: November 21, 2001

For: ANALYZING INVESTMENT DATA

Confirmation No.: 5316

Art Unit: 3691

Examiner: Muriel S. Tinkler

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT
(Under 37 C.F.R. § 1.97(c)(2) and § 1.98) AND
STATEMENT OF RELATEDNESS (Under M.P.E.P. § 2001.06(b))

Sir:

The Examiner is requested to consider the references noted on the enclosed PTO/SB/08a and PTO/SB/08b during examination of the above-identified patent application. The references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting the references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to 37 C.F.R. § 1.98(a)(2)(ii), photocopies of the U.S. patent and U.S. patent publication documents are not provided, unless required by the Office. Photocopies of the non-patent literature documents are provided herewith.

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and as required by M.P.E.P. § 2001.06(b), the Examiner is requested to consider the pending patent applications listed in "Attachment A," which are owned or licensed by the Assignee of the present application. The pending patent applications may include subject matter similar to that of the present application.

This Supplemental Information Disclosure Statement is submitted after receipt of an Office action but before the mailing date of a final action, a notice of allowance, or other action that otherwise closes prosecution of this application. Accordingly, pursuant to 37 C.F.R. § 1.97(c)(2), please charge Deposit account No. 04-1415 in the amount of \$180.00, which covers the fee associated with the filing of this Supplemental Information Disclosure Statement. If any

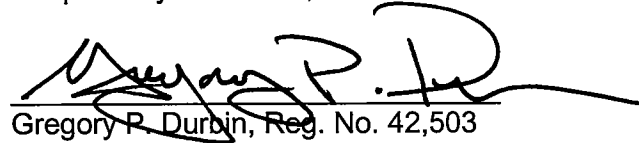
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additional fees are deemed necessary, such fees may be charged to Deposit Account No. 04-1415.

If the Examiner has any questions, please contact the undersigned attorney.

Dated: August 17, 2009

Respectfully submitted,

A handwritten signature in black ink, appearing to read "Gregory P. Durbin", is written over a horizontal line.

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Enclosures

Application No. 09/991,762
Attorney Docket No. 1698US

ATTACHMENT A
INFORMATION DISCLOSURE STATEMENT
FOR
STATEMENT OF RELATEDNESS (Under M.P.E.P. § 2001.06(b))

U.S. PATENT APPLICATION DOCUMENTS

Examiner Initials	Application Number	Filing Date	Name of Patentee or Applicant of Cited Document	Docket No.
	09/992,348	11/21/2001	Ellis	1366/US
	11/871,277	10/12/2007	Ellis	1366/US/1
	11/871,316	10/12/2007	Ellis	1698/US/1
	11/871,346	10/12/2007	Ellis	1698/US/2
	11/871,431	10/12/2007	Ellis	1366/US/2
	11/871,483	10/12/2007	Ellis	1366/US/3
	11/871,548	10/12/2007	Ellis	1366/US/4